

Heat Transport in Small Quantum Systems

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Outline

- 1 Introduction
 - Fourier's Law
 - Diffusion and Relaxation
- 2 Bath Scenario
 - A Quantum Heat Conduction Model
 - Properties of the Heat Conduction Model
 - A Perturbation Theory
- 3 Relaxation Scenario
 - Heat Conduction Model
 - Energy and Heat Transport
 - Beyond the Design Model

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Heat Transport

Local Equilibrium



Heat Conduction Experiment
(Deutsches Museum München)

- no global temperature
- local temperature
- temperature gradient
- constant heat current

Heat Transport

Local Equilibrium



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- no **global** temperature
- **local** temperature
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Jean Baptiste Joseph Fourier
(1768 - 1830)

Fourier's Law

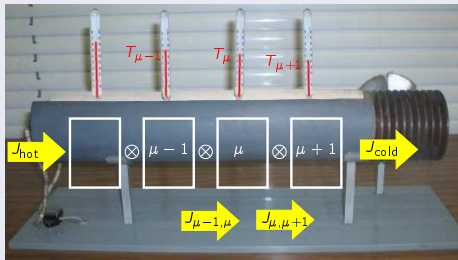
linear connection between
temperature gradient ∇T and
heat current J

$$J = -\kappa \nabla T$$

→ heat conductivity κ

Heat Conductivity

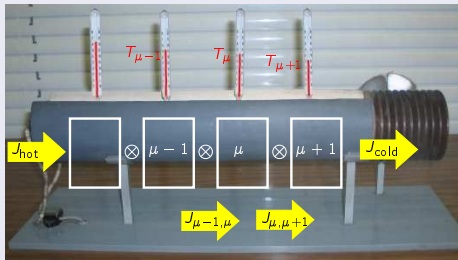
Discrete Version Fourier's Law



$$\begin{aligned} J_{\mu,\mu+1} &= -\kappa(T_{\mu+1} - T_{\mu}) \\ &= \kappa \Delta T_{\mu,\mu+1} \end{aligned}$$

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Conditions for Local Equilibrium

$$J_{\text{hot}} = J_{\mu-1,\mu} = J_{\mu,\mu+1} = J_{\text{cold}} = J$$

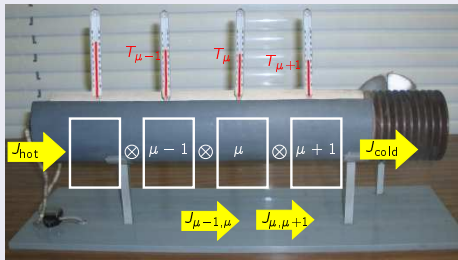
for κ to be a material constant

$$\Delta T_{\mu-1,\mu} = \Delta T_{\mu,\mu+1} = \Delta T$$

except some differences at the contacts

Heat Conductivity

Discrete Version Fourier's Law



$$\begin{aligned} J_{\mu,\mu+1} &= -\kappa(T_{\mu+1} - T_{\mu}) \\ &= \kappa \Delta T_{\mu,\mu+1} \end{aligned}$$

Definition of the Heat Conductivity

$$\kappa = \frac{J}{\Delta T}$$

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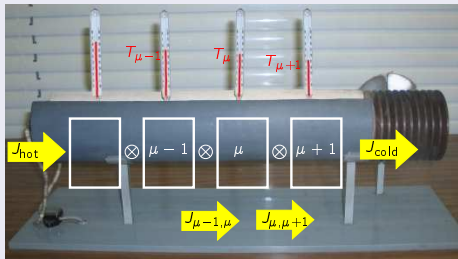
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except some differences at the contacts

Normal and Ballistic Transport

$$\Delta T \neq 0 \Rightarrow \text{finite } \kappa$$

→ normal transport

$$\Delta T = 0 \Rightarrow \kappa \rightarrow \infty$$

→ ballistic transport

Microscopic Foundation

→ Derivation of a microscopic theory for the heat conductivity κ

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→ Derivation of a microscopic theory for the heat conductivity κ

Peter J. W. Debye (1884-1966)



particle current:

- mean velocity \bar{v}
- mean free path \bar{l}

$$\kappa \propto c \bar{v} \bar{l}$$

(c heat capacity)

Microscopic Foundation

→ Derivation of a microscopic theory for the **heat conductivity κ**

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Sir Rudolph E. Peierls (1907 - 1995)

quasi-particle current:



- Boltzmann equation
- Umklapp process

$$\kappa \propto T^3$$

$$\kappa \propto \frac{1}{T} \quad \text{to} \quad \frac{1}{T^2}$$

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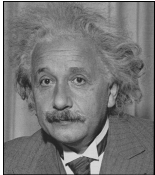
$$\kappa \propto \frac{1}{T} \quad \text{to} \quad \frac{1}{T^2}$$

Ryogo Kubo (1920-1995)



- linear response theory (for electrical transport)
- Kubo formula → current current autocorrelation
- ad hoc transferred from electrical to heat transport

Connection between Diffusion and Relaxation



Albert Einstein
(1879-1955)



Marian Ritter von
Smolan
Smoluchowski
(1872-1917)

Diffusion

The **diffusion constant** in a *local equilibrium steady state* (**bath scenario**) is equivalent to the **relaxation constant** from a far from equilibrium state into the global equilibrium (**decay scenario**).

Bath Scenario

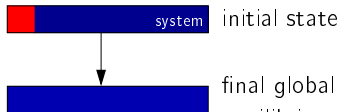


stationary local equilibrium state

→ **heat conductivity**



Decay Scenario



→ **relaxation constant**

Outline

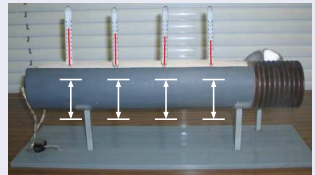
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A Quantum Heat Conduction Model

Local Hamiltonian, Zeeman splitting

$$\hat{H}_{\text{loc}} = \sum_{\mu=1}^N \hat{\sigma}_z(\mu)$$

Model System



A Quantum Heat Conduction Model

Local Hamiltonian, Zeeman splitting

$$\hat{H}_{\text{loc}} = \sum_{\mu=1}^N \hat{\sigma}_z(\mu)$$

Interaction

Heisenberg interaction:

$$\hat{H}_H = \sum_{\mu=1}^{N-1} \hat{\sigma}(\mu) \cdot \hat{\sigma}(\mu+1)$$

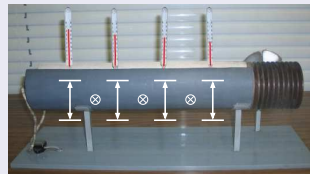
Förster interaction:

$$\hat{H}_F = \sum_{\mu=1}^{N-1} [\hat{\sigma}_x(\mu) \hat{\sigma}_x(\mu+1) + \hat{\sigma}_y(\mu) \hat{\sigma}_y(\mu+1)]$$

Random interaction:

$$\hat{H}_R = \sum_{\mu=1}^{N-1} \sum_{i,j=1}^3 p_{ij} \hat{\sigma}_i(\mu) \hat{\sigma}_j(\mu+1)$$

Model System



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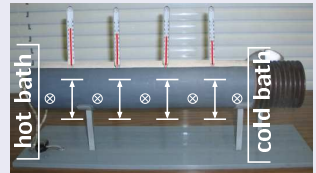
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Model System



Environment

approximation of large environmental systems by a
[Quantum Master Equation](#)
 (Open system approach)

Modelling of Environments

$$\mathbf{T}_1 \left] \cdots \mathbf{T}_2$$

Liouville space

- from pure states to mixed states

Modelling of Environments

$$\left[\begin{array}{c} \tau_1 \\ \vdots \\ \tau_i \\ \vdots \\ \tau_n \end{array} \right] \cdot \left[\begin{array}{c} \vdots \\ \vdots \\ \vdots \\ \vdots \\ \vdots \end{array} \right] \cdot \left[\begin{array}{c} \tau_1 \\ \vdots \\ \tau_i \\ \vdots \\ \tau_n \end{array} \right]$$

Liouville-von-Neumann Equation

$$\frac{d\psi}{dt} = i\hat{H}\psi \rightarrow \frac{d\hat{\rho}}{dt} = -i[\hat{H}, \hat{\rho}]$$

Liouville space

- from pure states to mixed states

$$\left[\begin{array}{c} \mathbf{T}_1 \end{array} \right] \cdots \left[\begin{array}{c} \mathbf{T}_2 \end{array} \right]$$
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$$\frac{d\hat{\rho}}{dt} = -i[\hat{H}, \hat{\rho}] + \mathcal{L}\hat{\rho}$$

- from pure states to mixed states
- adding phenomenological damping terms: dissipator

Modelling of Environments

$$\mathbf{T}_1 \left[\begin{array}{c} \bullet \\ \vdots \\ \bullet \end{array} \right] \cdot \left[\begin{array}{c} \bullet \\ \vdots \\ \bullet \end{array} \right] \cdot \mathbf{T}_2$$

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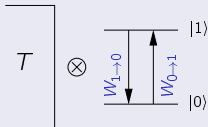
LvN Equation (Open System)

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Dissipator for a single spin (Lindblad Form)



Modelling of Environments

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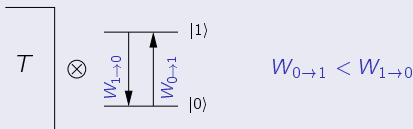
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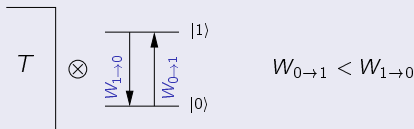
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Liouville space

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Dissipator for a single spin (Lindblad Form)



$$\mathcal{L}\hat{\rho} = W_{1 \rightarrow 0} \left(\hat{\sigma}_- \hat{\rho} \hat{\sigma}_+ - \frac{1}{2} \{ \hat{\rho}, \hat{\sigma}_+ \hat{\sigma}_- \}_+ \right) + W_{0 \rightarrow 1} \left(\hat{\sigma}_+ \hat{\rho} \hat{\sigma}_- - \frac{1}{2} \{ \hat{\rho}, \hat{\sigma}_- \hat{\sigma}_+ \}_+ \right)$$

Modelling of Environments

$$\mathbf{T}_1 \cdot \mathbf{T}_2 \cdot \mathbf{T}_3 \cdot \mathbf{T}_4 \cdot \mathbf{T}_5 \cdot \mathbf{T}_6 \cdot \mathbf{T}_7 \cdot \mathbf{T}_8 \cdot \mathbf{T}_9 \cdot \mathbf{T}_{10}$$

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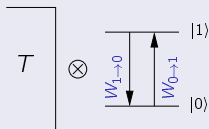
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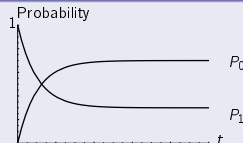
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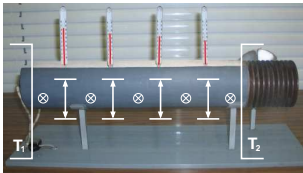


$$W_{0 \rightarrow 1} < W_{1 \rightarrow 0}$$



$$\mathcal{L}\hat{\rho} = W_{1 \rightarrow 0} \left(\hat{\sigma}_- \hat{\rho} \hat{\sigma}_+ - \frac{1}{2} \{ \hat{\rho}, \hat{\sigma}_+ \hat{\sigma}_- \}_+ \right) + W_{0 \rightarrow 1} \left(\hat{\sigma}_+ \hat{\rho} \hat{\sigma}_- - \frac{1}{2} \{ \hat{\rho}, \hat{\sigma}_- \hat{\sigma}_+ \}_+ \right)$$

Properties of the Stationary State



Gemmer, Michel, Mahler, LNP657 (2004)

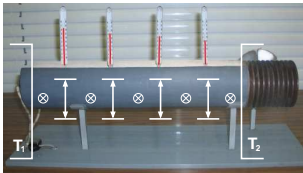
LvN Equation: Stationary State

$$\frac{d\hat{\rho}}{dt} = -i[\hat{H}, \hat{\rho}] + \mathcal{L}_1(T_1)\hat{\rho} + \mathcal{L}_2(T_2)\hat{\rho} = \mathcal{L}\hat{\rho}$$

$$\text{stationary state: } \frac{d\hat{\rho}}{dt} = \mathcal{L}\hat{\rho}_0 = 0$$

→ $\hat{\rho}_0$ contains: temperature profile, heat currents

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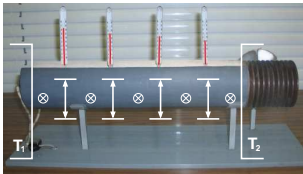
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Expectation Value

$$A = \text{Tr}\{\hat{A}\hat{\rho}_0\}$$

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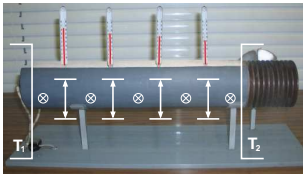
$$A = \text{Tr}\{\hat{A}\hat{\rho}_0\}$$

Local Temperature

local energy used as a measure for the local temperature:

$$T(\mu) = \text{Tr}\{\hat{H}_{\text{loc}}(\mu)\hat{\rho}_0\}$$

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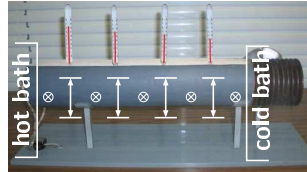
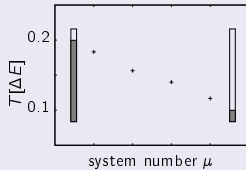
Heat Current

according to the current operator \hat{J} :

$$J = \text{Tr}\{\hat{J}\hat{\rho}_0\}$$

Local Temperature Profile

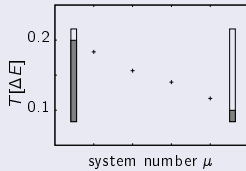
Heisenberg Interaction



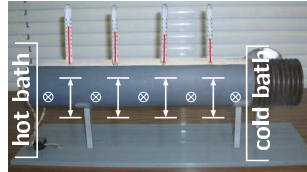
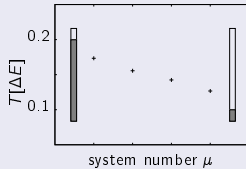
Michel et al. EPJB 34, 325 (2003)

Local Temperature Profile

Heisenberg Interaction



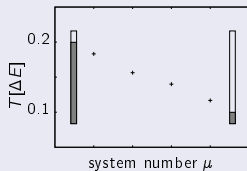
Random Interaction



Michel et al. EPJB 34, 325 (2003)

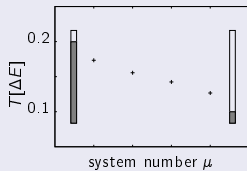
Local Temperature Profile

Heisenberg Interaction

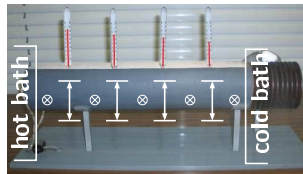


normal
transport

Random Interaction



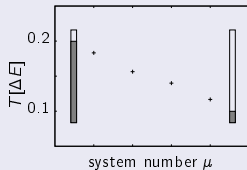
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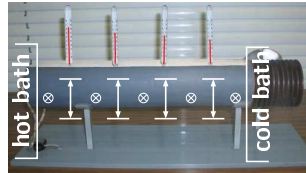
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Local Temperature Profile

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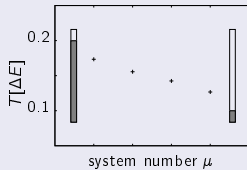


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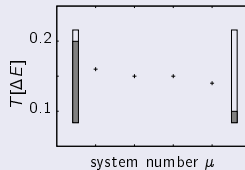
Michel et al. EPJB 34, 325 (2003)

Random Interaction



normal
transport

Förster Interaction (XY)



ballistic
transport

Fourier's Law: Normal Behavior

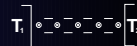


Discrete Fourier's Law

linear connection between current
and local temperature difference

$$J(\mu, \mu + 1) = \kappa \Delta T(\mu, \mu + 1)$$

Fourier's Law: Normal Behavior



Discrete Fourier's Law

linear connection between current
 and local temperature difference

$$J(\mu, \mu + 1) = \kappa \Delta T(\mu, \mu + 1)$$

Procedure

- mean temperature
 $T = (T_1 + T_2)/2$
- temperature difference
 $\Delta T = (T_1 - T_2)$

Fourier's Law: Normal Behavior

$$\mathbf{T}_1 \left[\begin{array}{c} \circ \\ \circ \\ \circ \\ \circ \\ \circ \end{array} \right] \mathbf{T}_2$$

Discrete Fourier's Law

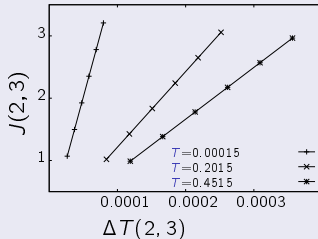
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Numerical Data



J in $10^{-7} \Delta E$ (ΔE local energy splitting)

T in ΔE

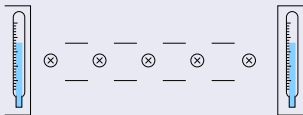
$$\left[\mathbf{T}_1 \right] \begin{array}{c} \ominus \\ \text{---} \\ \ominus \\ \text{---} \\ \ominus \\ \text{---} \\ \ominus \\ \text{---} \\ \ominus \end{array} \left[\mathbf{T}_2 \right]$$
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 T in ΔE

Perturbation Theory

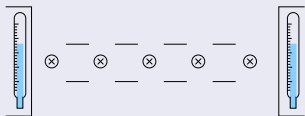
Unperturbed System: $T_1 = T_2 = T$



Michel et al. EPJB 42, 555 (2004)

Perturbation Theory

Unperturbed System: $T_1 = T_2 = T$

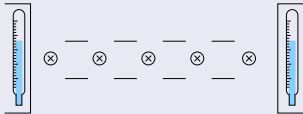


$$\frac{d\hat{\rho}}{dt} = -i[\hat{H}, \hat{\rho}] + \mathcal{L}_1(T)\hat{\rho} + \mathcal{L}_2(T)\hat{\rho}$$

Michel et al. EPJB 42, 555 (2004)

Perturbation Theory

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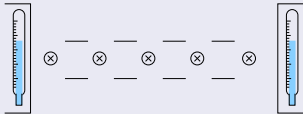
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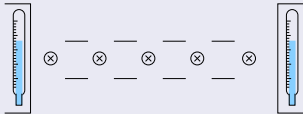
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Perturbation Theory

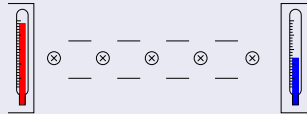
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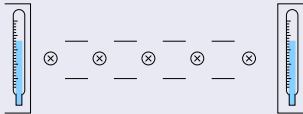
Perturbation



Michel et al. EPJB 42, 555 (2004)

Perturbation Theory

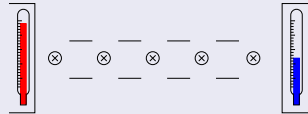
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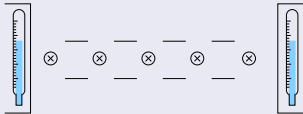
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Michel et al. EPJB 42, 555 (2004)

Perturbation Theory

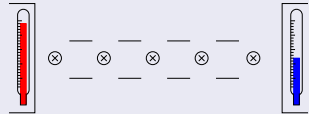
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stationary **local** equilibrium state

$$\hat{\rho}_{\text{stat}} = \hat{\rho}_0 + \Delta\hat{\rho}$$

Michel et al. EPJB 42, 555 (2004)

Results of the Perturbation Theory

Stationary State

$$\hat{\rho}_{\text{stat}} = \hat{\rho}_0 + \Delta\hat{\rho}$$

Local Equilibrium State

- $\hat{\rho}_0$ contains neither a temperature profile nor any currents

Results of the Perturbation Theory

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Results of the Perturbation Theory

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Current and Profile

$$J(\mu, \mu + 1) = \text{Tr} \{ \hat{J}(\mu, \mu + 1) \Delta\hat{\rho} \}$$

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$$J(\mu, \mu + 1) \propto \Delta T$$

the current through the system is linear
in the external perturbation

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Global Fourier's Law

$$\kappa = \frac{J(\mu, \mu + 1)}{\Delta T}$$

→ independent of ΔT

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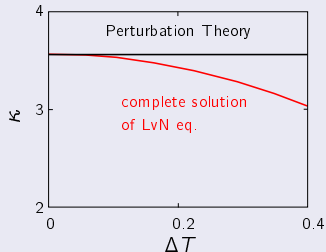
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→ **independent of ΔT**

Discussion of the Perturbation Theory

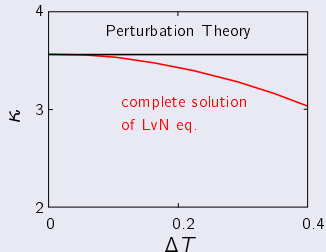
Conductivity



→ complete solution shows
a weak ΔT dependence

Discussion of the Perturbation Theory

Conductivity



→ complete solution shows a weak ΔT dependence

Why this talk doesn't end here?

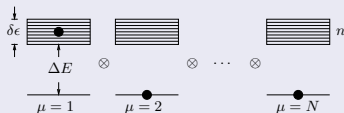
- shortcoming 1: it isn't possible to observe large systems at the moment
- shortcoming 2: the systems heat conductivity depends on the environmental coupling strength in a nontrivial way

Outline

- 1 Introduction
 - Fourier's Law
 - Diffusion and Relaxation
- 2 Bath Scenario
 - A Quantum Heat Conduction Model
 - Properties of the Heat Conduction Model
 - A Perturbation Theory
- 3 Relaxation Scenario
 - Heat Conduction Model
 - Energy and Heat Transport
 - Beyond the Design Model

The Hamiltonian Model

Hamiltonian: Design Model



$$\hat{H} = \sum_{\mu=1}^N \hat{H}_{\text{loc}}(\mu) + \lambda \sum_{\mu=1}^{N-1} \hat{V}(\mu, \mu + 1)$$

\hat{H}_{loc} local Hamiltonian
 \hat{V} random interaction
 λ coupling strength

further reading:

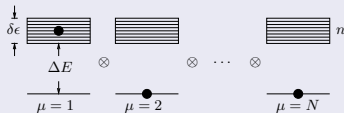
Michel, Mahler, Gemmer, PRL 95, 180602 (2005)

Michel, Gemmer, Mahler, PRE 73, 016101 (2006)

Gemmer, Michel, Mahler, *Quantum Thermodynamics*, Springer (2004)

The Hamiltonian Model

Hamiltonian: Design Model

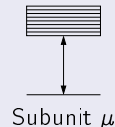


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Observed quantity: probability to find μ th subsystem excited

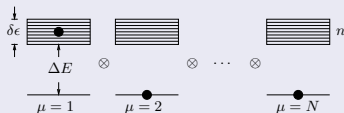
- Energy in a subunit $\rightarrow \Delta E \cdot$ excitation probability P_{μ}



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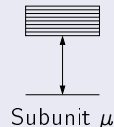


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- Projector on the excitation band of μ th subunit \hat{P}_{μ}



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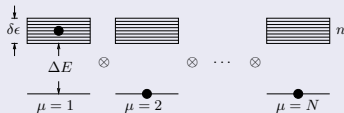
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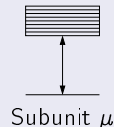


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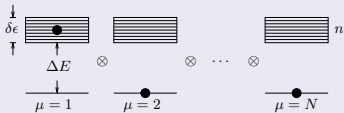
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- Probability $P_{\mu}(t) \equiv \langle \psi(t) | \hat{P}_{\mu} | \psi(t) \rangle$



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Reduced and Full Dynamics

Model System



Full Dynamics

solution of the [Schrödinger equation](#)

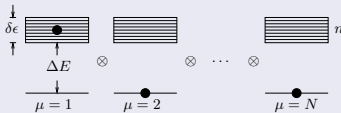
$$\frac{d}{dt}|\psi(t)\rangle = i\hbar\hat{H}|\psi(t)\rangle$$

exact [time-dependent probability](#) to find the μ th system excited

$$P_{\mu}(t) = \langle\psi(t)|\hat{P}_{\mu}|\psi(t)\rangle$$

Reduced and Full Dynamics

Model System



One Excitation Subspace

- initial state: subsystem 1 excited

Full Dynamics

solution of the Schrödinger equation

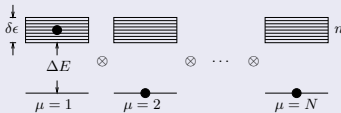
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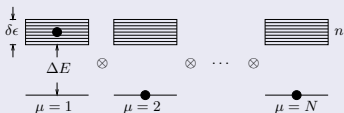
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Reduced Dynamics of Probabilities

approximated dynamics: Rate Equation
(derived after TCL/HAM)

$$\frac{d}{dt}P_1 = -\kappa(P_1 - P_2)$$

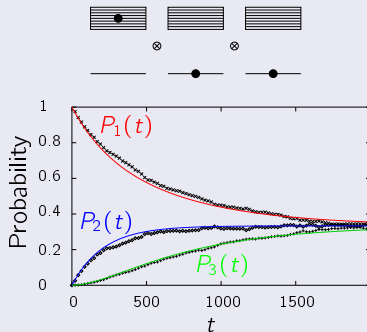
$$\frac{d}{dt}P_\mu = -\kappa(2P_\mu - P_{\mu+1} - P_{\mu-1})$$

$$\frac{d}{dt}P_N = -\kappa(P_N - P_{N-1})$$

- Decay constant $\kappa = 2\pi\lambda^2 \frac{n}{\delta\epsilon}$
- N differential equations

Comparison of Full and Reduced Dynamics

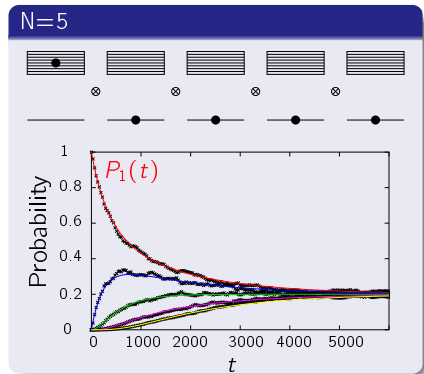
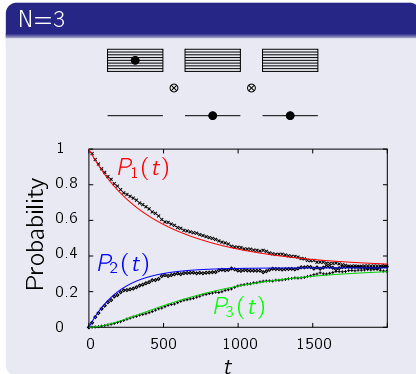
$N=3$



Model Parameter

- levels in the band $n = 500$
- band width $\delta\epsilon = 0.05$
- coupling strength $\lambda = 5 \cdot 10^{-5}$

Comparison of Full and Reduced Dynamics

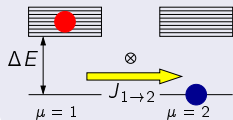


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Energy Transport

HAM rate equation



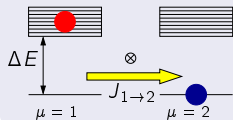
rate equation
 \rightarrow exponential
 decay

$$\frac{dP_1}{dt} = -\kappa(P_1 - P_2)$$

$$\frac{dP_2}{dt} = -\kappa(P_2 - P_1)$$

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Energy Current

defined as the change of **internal energy**
 U_μ in the two subunits

$$J_{1 \rightarrow 2} = \frac{1}{2} \left(\frac{dU_2}{dt} - \frac{dU_1}{dt} \right)$$

internal energy: $U_\mu = \Delta E P_\mu$

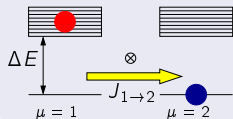
$$J_{1 \rightarrow 2} = \frac{\Delta E}{2} \left(\frac{dP_2}{dt} - \frac{dP_1}{dt} \right)$$

and with rate equation

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Energy Fourier's law

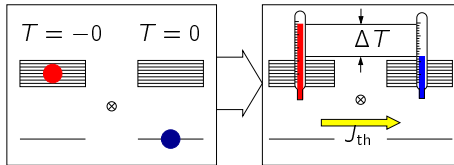
energy current \propto energy gradient

$$J_{1 \rightarrow 2} = -\kappa(U_2 - U_1)$$

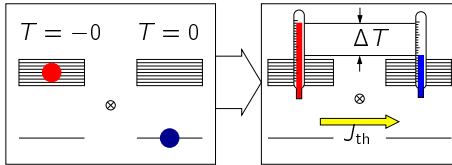
Energy Conduction Coefficient:

$$\kappa = 2\pi\lambda^2 \frac{n}{\delta\epsilon}$$

Heat Transport



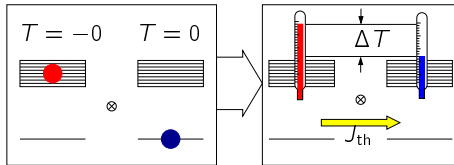
Heat Transport



Fourier's Law

$$J_{th} = -\kappa_{th} \Delta T$$

Heat Transport



Fourier's Law

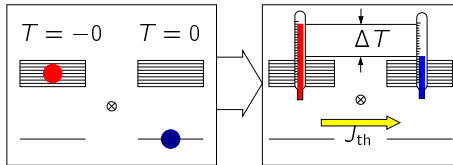
$$J_{th} = -\kappa_{th} \Delta T$$

Heat conductivity

$$\kappa_{th} = \kappa C$$

- κ energy diffusion constant
- c heat capacity of subunit

Heat Transport



Fourier's Law

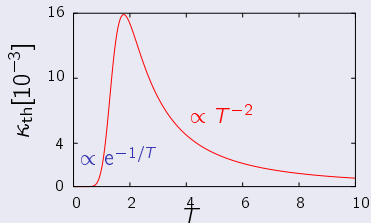
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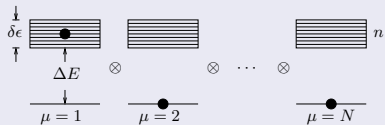


Expectation (Peierls)

- small temperatures $\propto T^3$
- high temperatures $\propto T^{-1} - T^{-2}$

Beyond the Design Model

Design Model

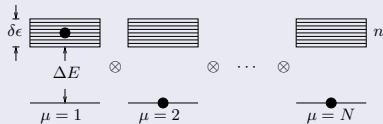


Assets

- local energy and temperature

Beyond the Design Model

Design Model

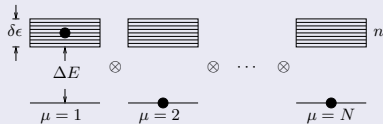


Assets

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- full solution feasible

Beyond the Design Model

Design Model



Assets

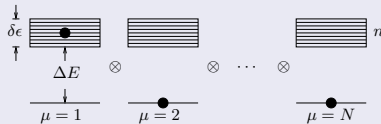
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Drawbacks

- not a real microscopic model

Beyond the Design Model

Design Model



Assets

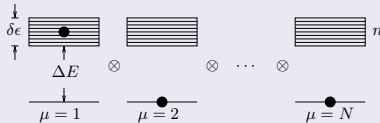
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- large gap is not typical

Beyond the Design Model

Design Model



Assets

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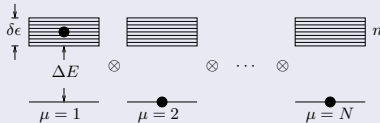
- not a real microscopic model
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General Requirements for a Heat Conduction Model

- organize the microscopic system as a “net-structure” of subunits
- high state density, weakly interacting subunits

Beyond the Design Model

Design Model



Assets

- local energy and temperature
- full solution feasible

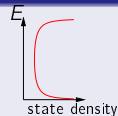
Drawbacks

- not a real microscopic model
- large gap is not typical

General Requirements for a Heat Conduction Model

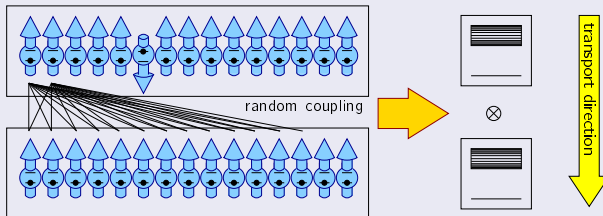
- organize the microscopic system as a “net-structure” of subunits
- high state density, weakly interacting subunits

Spin Chains as Subunits



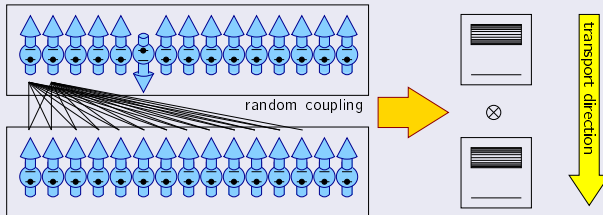
Spin Chain Model

Coupling Model

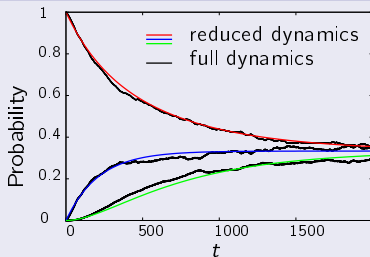


Spin Chain Model

Coupling Model



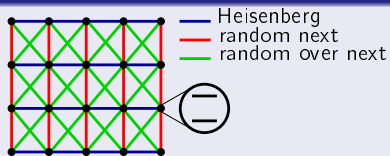
Comparison of Theory and Schrödinger Dynamics



- normal transport behavior
- heat conductivity as before
 $\rightarrow \kappa = 2\pi\lambda^2 \frac{n}{\partial \epsilon}$

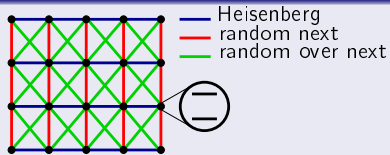
Anisotropic Model

Coupling Model

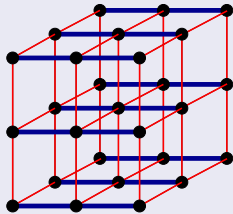


Anisotropic Model

Coupling Model

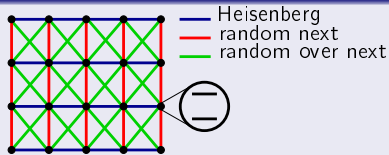


3D Model

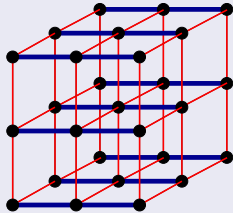


Anisotropic Model

Coupling Model



3D Model

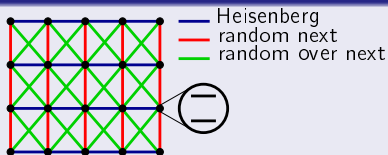


Hamiltonian

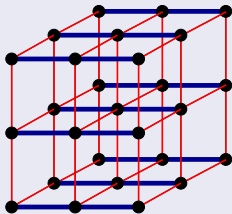
$$\hat{H} = \hat{H}_{\text{loc}} + \hat{H}_{\text{Heis}} + \hat{H}_{\text{rand1}} + \hat{H}_{\text{rand2}}$$

Anisotropic Model

Coupling Model



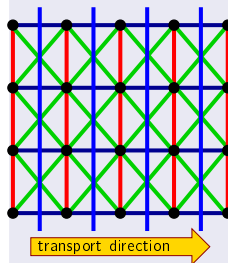
3D Model



Hamiltonian

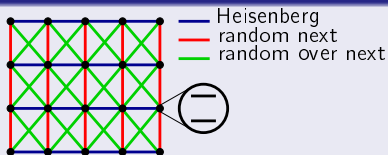
$$\hat{H} = \hat{H}_{\text{loc}} + \hat{H}_{\text{Heis}} + \hat{H}_{\text{rand1}} + \hat{H}_{\text{rand2}}$$

Parallel to Chains

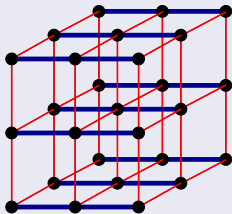


Anisotropic Model

Coupling Model



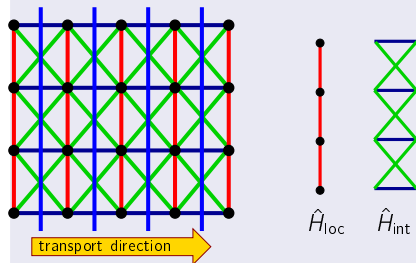
3D Model



Hamiltonian

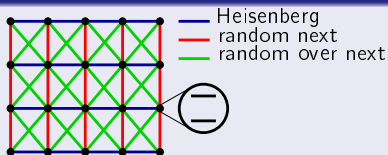
$$\hat{H} = \hat{H}_{\text{loc}} + \hat{H}_{\text{Heis}} + \hat{H}_{\text{rand1}} + \hat{H}_{\text{rand2}}$$

Parallel to Chains

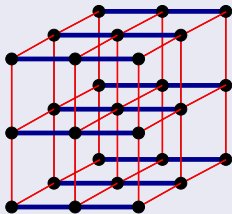


Anisotropic Model

Coupling Model



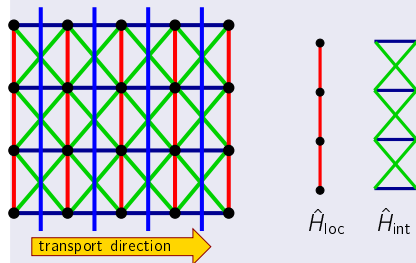
3D Model



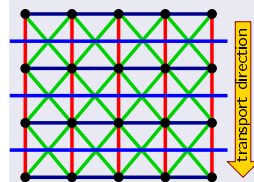
Hamiltonian

$$\hat{H} = \hat{H}_{\text{loc}} + \hat{H}_{\text{Heis}} + \hat{H}_{\text{rand1}} + \hat{H}_{\text{rand2}}$$

Parallel to Chains

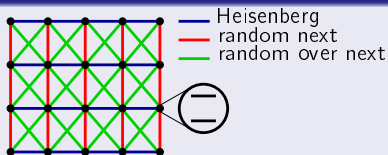


Perpendicular to Chains

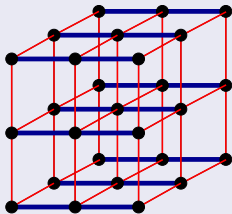


Anisotropic Model

Coupling Model



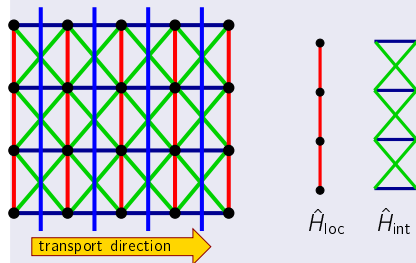
3D Model



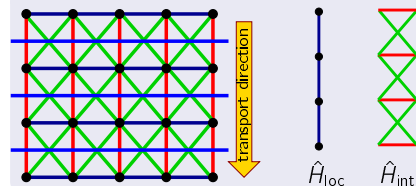
Hamiltonian

$$\hat{H} = \hat{H}_{\text{loc}} + \hat{H}_{\text{Heis}} + \hat{H}_{\text{rand1}} + \hat{H}_{\text{rand2}}$$

Parallel to Chains

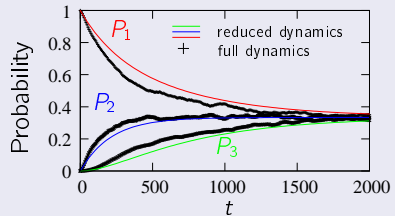
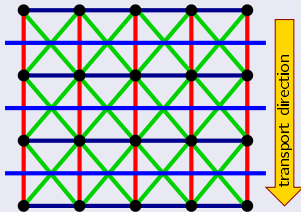


Perpendicular to Chains



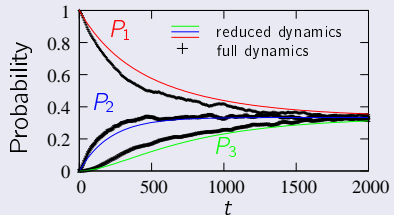
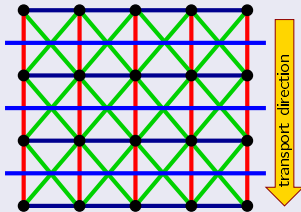
Anisotropic Model

Perpendicular to Chains (Normal Transport)

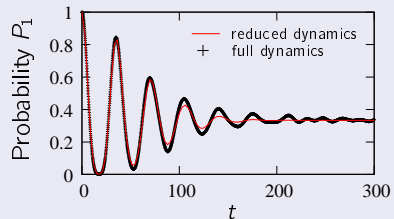
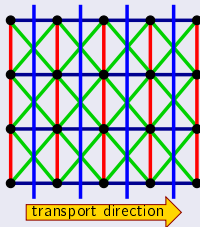


Anisotropic Model

Perpendicular to Chains (Normal Transport)



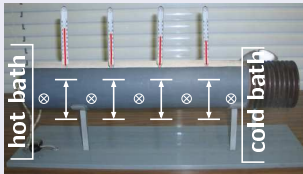
Parallel to Chains (Ballistic Transport)



Summary

Bath Scenario

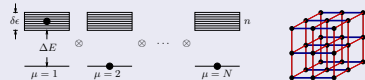
bath assisted transport of heat in small quantum systems



- ballistic and normal behavior
- Fourier's Law

Relaxation Scenario

investigation of decay behavior of small quantum systems



- ballistic and normal behavior
- Fourier's Law

Thanks to

- the members of the [Institute of Theoretical Physics I](#) (Stuttgart)
- special thanks to Hendrik Weimer
- Financial support by the [DFG](#) is gratefully acknowledged